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**DEPARTMENT OF COMMERCE
OFFICE OF INSPECTOR GENERAL**

JANUARY 2006

Sorted by Bureau/Issue Date

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

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| OPERATING UNIT | REPORT TYPE | REPORT NUMBER | TITLE | FINAL REPORT ISSUE DATE | PUBLIC RELEASE DATE |
|----------------|-------------------|---------------|---|-------------------------|---|
| BIS | Inspection | IPE-17361 | Annual Follow-up Report on Previous Export Controls Recommendations, as Mandated by the National Defense Authorization Act for Fiscal Year 2000, As Amended | 03/31/05 | |
| BIS | Financial Audit | FSC-9859 | Financial Statements for FY 1997 | 03/19/98 | 03/27/98 |
| ESA | Financial Audit | FSC-10113 | BEA and ESA Combined Financial Statements for FY 1997 | 03/19/98 | 04/15/98 |
| ESA-Census | Performance Audit | ATL-10316 | Bankcard Purchases, Atlanta Regional Office | 03/23/98 | 04/28/98 |
| EDA | Financial Audit | FSC-9861 | Financial Statements for FY 1997 | 03/31/98 | 04/17/98 |
| ESA-Census | Financial Audit | FSC-9860 | Census Bureau Financial Statements for FY 1997 | 03/31/98 | 04/15/98 |
| ESA-Census | Performance Audit | EAD-9608 | 2000 Decennial Address-Building Program | 03/31/98 | 04/09/98 |
| ESA-Census | Evaluation | OSE-10773 | Computer Security for Transmission of Sensitive Data Should Be Strengthened | 09/28/98 |  |
| EDA | Performance Audit | ATL-8989 | Hurricane Andrew Disaster Assistance | 09/30/98 | 11/05/98 |
| EDA | Performance Audit | DEN-8772 | Midwest Flood Program | 09/30/98 | 11/04/98 |
| ESA-Census | Inspection | IPE-10753 | 2000 Decennial Dress Rehearsal—Menominee Indian Reservation, WI | 09/30/98 | 10/15/98 |
| ESA-Census | Inspection | IPE-10756 | 2000 Decennial Local Update of Census Addresses | 09/30/98 | 10/16/98 |
| ESA-Census | Performance Audit | ESD-10783 | 2000 Decennial Dress Rehearsal—Columbia, SC, Programmatic Issues | 09/30/98 | 10/15/98 |
| ESA-Census | Performance Audit | ATL-11050 | 2000 Decennial Dress Rehearsal—Columbia, SC, Personnel Administration | 09/30/98 | 10/15/98 |
| ESA-Census | Performance Audit | ESD-10784(1) | 2000 Decennial Dress Rehearsal—Sacramento, CA, Programmatic Issues | 09/30/98 | 10/16/98 |
| ESA-Census | Performance Audit | STL-11052 | 2000 Decennial Dress Rehearsal—Sacramento, CA, Personnel Administration | 09/30/98 | 10/16/98 |
| ESA-Census | Performance Audit | ESD-10784(2) | 2000 Decennial Quality Check Survey Independence | 09/30/98 | 10/15/98 |
| EDA | Performance Audit | DEN-9806 | Defense Adjustment Assistance Program | 01/26/99 | 02/05/99 |



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

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| OPERATING UNIT | REPORT TYPE | REPORT NUMBER | TITLE | FINAL REPORT ISSUE DATE | PUBLIC RELEASE DATE |
|----------------|-------------------|---------------|---|-------------------------|---|
| ESA-Census | Evaluation | OSE-10846 | Data Capture System 2000 Requirements and Testing Caused Dress Rehearsal Problems | 01/29/99 | 02/08/99 |
| ESA-Census | Performance Audit | DEN-11030 | Bankcard Purchases, Denver Regional Office | 02/01/99 | 02/04/99 |
| BIS | Financial Audit | FSC-10864 | Financial Statements for FY 1998 | 03/01/99 | 05/26/99 |
| EDA | Financial Audit | FSD-10997 | Financial Statements for FY 1998 | 03/01/99 | 06/18/99 |
| ESA | Financial Audit | FSC-10863 | BEA and ESA Combined Financial Statements for FY 1998 | 03/01/99 | 06/14/99 |
| ESA-Census | Financial Audit | FSC-10865 | Census Bureau Financial Statements for FY 1998 | 03/09/99 | 06/09/99 |
| ESA-Census | Inspection | IPE-10523 | Interagency Agreements | 03/31/99 | |
| BIS | Inspection | IPE-11488 | Export Licensing Process for Dual-Use Commodities and Munitions | 06/18/99 | 06/23/99 |
| ESA-Census | Evaluation | OSE-10711 | Improvements Needed in Multiple Response Resolution to Ensure Accurate, Timely Processing for the 2000 Decennial Census | 09/13/99 | 09/16/99 |
| ESA-Census | Performance Audit | ESD-11449 | 2000 Decennial Quality Check Survey, Dress Rehearsal Experience | 09/13/99 | 10/14/99 |
| EDA | Performance Audit | BTD-11548 | Discretionary Funding—Research and Evaluation Program | 09/18/99 | 10/18/99 |
| ESA-Census | Evaluation | OSE-10758 | Method for Archiving 2000 Decennial Data and Procedures for Disposing of Questionnaires Should Be Finalized | 09/29/99 | 10/07/99 |
| ESA-Census | Performance Audit | ESD-11755 | 2000 Decennial Public Awareness Campaign | 09/30/99 | 10/13/99 |
| ESA-Census | Performance Audit | ATL-11640 | 2000 Decennial Payroll Processing—Atlanta Region | 12/06/99 | 12/07/99 |
| BIS | Evaluation | OSE-12551 | Year 2000 Preparations Are Effective, But Additional Risk Mitigation is Needed | 12/30/99 | 04/07/00 |
| ESA-Census | Evaluation | OSE-12200 | Year 2000 Preparations were Effective, but Business Continuity and Contingency Planning Needed Improvement | 02/28/00 |  |
| BIS | Financial Audit | FSD-11883 | Financial Statements for FY 1999 | 03/01/00 | 08/24/00 |
| EDA | Financial Audit | FSD-11885 | Financial Statements for FY 1999 | 03/01/00 | 03/13/00 |



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|----------------|-------------------|---------------|--|-------------------------|---------------------|
| ESA-Census | Financial Audit | FSD-11884(2) | Census Bureau Financial Management Systems General Controls, FY 1999 | 03/01/00 | 03/13/00 |
| ESA-Census | Financial Audit | FSD-11884(1) | Census Bureau Financial Statements for FY 1999 | 03/13/00 | 03/13/00 |
| ESA | Financial Audit | FSD-11886 | BEA and ESA Combined Financial Statements for FY 1999 | 03/15/00 | |
| ESA-Census | Performance Audit | ESD-11781 | 2000 Decennial Accountable Property | 03/23/00 | 03/27/00 |
| BIS | Inspection | IPE-12454-1 | Export Controls for the Transfer of Sensitive Technologies to Countries of Concern | 03/24/00 | 03/24/00 |
| ESA-Census | Evaluation | OSE-11684 | PAMS/ADAMS Should Provide Adequate Support for the Decennial Census, but Software Practices Need Improvement | 03/31/00 | 03/13/00 |
| ESA-Census | Performance Audit | ATL-12556 | Federal Audit Clearinghouse Database | 07/17/00 | 07/25/00 |
| ESA-Census | Performance Audit | DEN-11950 | 2000 Decennial Warehousing Operations | 07/28/00 | 08/02/00 |
| ESA-Census | Evaluation | OSE-12376 | Telephone Questionnaire Assistance Contract Needs Administration and Surveillance Plan | 08/08/00 | 12/18/00 |
| ESA-Census | Performance Audit | ESD-12593 | 2000 Decennial Special Population Enumerations and Questionnaire Assistance Centers | 09/07/00 | |
| BIS | Performance Audit | FSD-12847 | Performance Measures Reporting | 09/29/00 | 10/19/00 |
| ESA-Census | Inspection | IPE-11573 | 2000 Decennial Leasing Operations | 09/29/00 | 03/15/01 |
| ESA-Census | Inspection | IPE-13212 | 2000 Decennial Unemployment Compensation | 09/29/00 | 10/23/00 |
| ESA-Census | Inspection | IPE-12045 | Special Census of the Village of Machesney Park, Illinois | 09/29/00 | 10/04/00 |
| ESA-Census | Performance Audit | ESD-13215 | 2000 Decennial Re-enumerations at Three Local Census Offices in Florida | 09/29/00 | 10/13/00 |
| ESA-Census | Evaluation | OSE-12065 | A Better Is Needed for Managing the Nation's Master Address File | 09/30/00 | 10/17/00 |
| EDA | Performance Audit | DEN-11580 | Discretionary Funding—Public Works, Technical Assistance, and Other Economic Development Assistance Programs | 12/21/00 | 05/02/01 |
| EDA | Financial Audit | FSD-12851(1) | Financial Management Systems General Controls, FY 2000 | 01/15/01 | |
| ESA-Census | Financial Audit | FSD-12850(1) | Census Bureau Financial Management Systems General Controls, FY 2000 | 01/15/01 | |



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

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|----------------|-------------------|---------------|---|-------------------------|---------------------|
| BIS | Inspection | IPE-13313 | Nonproliferation Export Control Cooperation Program Allegations | 02/27/01 | 06/25/01 |
| BIS | Financial Audit | FSD-12848 | Financial Statements for FY 2000 | 03/01/01 | 03/27/01 |
| ESA-Census | Financial Audit | FSD-12850(2) | Census Bureau Financial Statements for FY 2000 | 03/01/01 | 03/15/01 |
| BIS | Inspection | IPE-13744 | Commerce Control List and Related Processes | 03/20/01 | 03/22/01 |
| ESA-Census | Evaluation | OSE-13812 | Actions to Address the Impact on the Accuracy Coverage & Evaluation--Impact of Suspected Duplicate Persons in the 2000 Decennial Census | 03/29/01 | 03/30/01 |
| BIS | Inspection | IPE-14246-1 | Follow-up on Previous Export Control Recommendations | 03/30/01 | 04/05/01 |
| BIS | Inspection | IPE-14246-2 | Annual Follow-Up Report on Previous Export Control Recommendations, as Mandated by the National Defense Authorization Act for Fiscal year 2000 | 09/15/01 | |
| EDA | Performance Audit | STL-14322 | Trade Adjustment Assistance Centers' Internal Controls Over Consultant Services Needs Strengthening | 09/28/01 | 10/16/01 |
| ESA-Census | Evaluation | OSE-12754 | Additional Security Measures Needed for Advance Retail Sales Economic Indicator | 12/05/01 | 01/14/01 |
| BIS | Inspection | IPE-14270 | BXA Needs to Strengthen its ECASS Modernization Efforts to Ensure Long-Term Success of the Project | 02/12/02 | 02/13/02 |
| ESA-Census | Evaluation | OIG-14226 | Selected Aspects of Census 2000 Accuracy and Coverage Evaluation Need Improvements Before 2010 | 03/08/02 | 06/12/02 |
| ITA | Inspection | IPE-14234 | US & FCS Italy's Effectiveness Can be Further Enhanced by Focusing on Management and Program Improvements | 03/15/02 | 03/25/02 |
| ESA-Census | Evaluation | OIG-14431 | Improving Our Measure of America: What Census 2000 Can Teach Us in Planning for 2010 | 03/29/02 | 06/18/02 |
| ITA | Inspection | IPE-14282 | Trade Agreement Compliance Process Needs Better Coordination Within ITA | 03/29/02 | 04/10/02 |
| BIS | Inspection | IPE-14977 | Interagency Review of Federal Automated Export Licensing Systems | 04/05/02 | |
| BIS | Inspection | IPE-15290 | Annual Follow-Up Report on Previous Export Control Recommendations, Mandated by the National Defense Authorization Act for Fiscal Year 2000, as Amended | 09/30/02 | 10/28/02 |



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

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| BIS | Inspection | IPE-15155-1 | Stronger Management Controls Are Needed for BIS' Export Enforcement Firearms Program | 03/14/03 | |
| ITA | Inspection | IPE-15370 | The Commercial Service Needs to Improve Management of its Operations in Turkey | 03/14/03 | 08/12/04* |
| BIS | Inspection | IPE-15155-3 | Memo on CIA-Export Enforcement Issues | 03/17/03 | |
| BIS | Inspection | IPE-15155 | Improvements Are Needed to Better Enforce Dual-Use Export Control Laws | 03/31/03 | |
| ITA | Performance Audit | ESD-15499-3 | International Trade Administration's Market Access and Compliance Unit Successfully Recruited for Trade Compliance Positions | 03/31/03 | 04/02/03 |
| BIS | Inspection | IPE-15155-2 | Administrative Matters Concerning BIS' Export Enforcement | 06/04/03 | |
| ESA-Census | Evaluation | OSE-15725 | MAF/TIGER Redesign Project Needs Management Improvements to Meet Its Decennial Goals and Cost Objectives | 09/30/03 | 10/20/03 |
| ESA-Census | Performance Audit | ESD-14911-3 | Census Bureau's National Processing Center Needs to Improve Controls Over Government Bills of Lading | 09/30/03 | 11/07/03 |
| ITA | Inspection | IPE-15804 | Generally Sound Operations at Commercial Service Greece Are Compromised by Key Weaknesses | 09/30/03 | 10/07/04* |
| ITA | Inspection | IPE-16136 | Chicago USEAC Network is Generally Operating Well But Needs to Improve Its Export Success Reporting | 02/20/04 | 05/28/04* |
| ESA-Census | Performance Audit | FSD-15990-4 | Improvements Needed in the Reporting of Performance Measures by the U.S. Census Bureau | 03/29/04 | 07/15/04 |
| BIS | Inspection | IPE-16176 | Deemed Export Controls May Not Stop the Transfer of Sensitive Technology to Foreign Nationals in the U.S. | 03/31/04 | 04/16/04 |
| BIS | Inspection | IPE-16178 | Annual Follow-Up Report on Previous Export Control Recommendations, as Mandated by the National Defense Authorization Act for Fiscal Year 2000 | 03/31/04 | |
| ITA | Inspection | IPE-16507 | Pacific Northwest USEAC Network Generally Operates Well, But Export Success Reports Need More Management Scrutiny | 03/31/04 | 10/07/04* |
| ITA | Inspection | IPE-16402 | Philadelphia USEAC Network Provides Good Service to Clients, but Oversight and Export Success Reporting Need to be Improved | 03/31/04 | 05/28/04* |
| BIS | Inspection | IPE-16177 | Interagency Review of Foreign National Access to Export-Controlled Technology in the United States | 04/16/04 |  |



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

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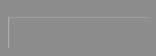
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| ESA-Census | Evaluation | OSE-16519-2 | The Census Bureau Should Redefine Its National-Critical Systems | 07/30/04 | |
| EDA | Quality Control Reviews | DEN-16853-4-0001 | Ted Willets CPA for the Audit of Southern Iowa Council of Governments for the year ended September 30, 2002 | 09/22/04 | |
| EDA | Quality Control Reviews | DEN-16854-4-0001 | Peak & Gerdes, LLP for the Audit of Area 15 Regional Planning Commission for the year ended June 30, 2003 | 09/22/04 | |
| ESA-Census | Evaluation | OSE-16519-1 | Weaknesses in Census Bureau's Certification and Accreditation Process Leaves Security of Critical Information Systems in Question | 09/28/04 | 10/04/04 |
| EDA | Quality Control Reviews | DEN-16870-4-0001 | Carlson & Cottrell, CPAs PLC, Audit of South Western Oklahoma Development Authority for the year ended September 30, 2003 | 09/30/04 | |
| EDA | Quality Control Reviews | DEN-16871-4-0001 | Becky Fleming, C.P.A., Inc., Audit of Central Oklahoma Economic Development District for the year ended June 30, 2003 | 09/30/04 | |
| ESA-Census | Evaluation | OIG-16949 | Improving Our Measure of America: What the 2004 Census Test Can Teach Us in Planning for the 2010 Decennial Census | 09/30/04 | 09/30/04 |
| ITA | Inspection | IPE-16728 | USEACs Are Meeting Client Needs, But Better Management Oversight Is Needed | 09/30/04 | 12/07/04* |
| ITA | Inspection | IPE-16808 | CS India: Challenges Remain for a Large and Economically Diverse Post | 09/30/04 | 10/22/04* |
| BIS | Inspection | IPE-16946 | The export Licensing Process for Chemical and Biological Commodities Is Generally Working Well, But Some Issues Need Resolution | 03/31/05 | 04/26/05* |
| ESA | Performance Audit | FSD-16824-1 | Some Improvements Are Needed in the Handling of Reimbursable Agreements and the Sale of Products and Services | 03/31/05 | 04/15/05 |
| ESA-Census | Performance Audit | FSD-16824-2 | Management Controls Over Reimbursable Agreements at the U.S. Census Bureau Need Improvement | 03/31/05 | |
| ITA | Inspection | IPE-16952 | Import Administration Has Met Most Statutory Deadlines on Antidumping Reviews, But Management Attention Is Needed In Other Areas | 03/31/05 | 06/20/05* |



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

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|----------------|-------------------|---------------|--|-------------------------|---|
| ESA-Census | Inspection | OSE-17368 | FDCA Program for 2010 Census Is Progressing, but Key Management and Acquisition Activities Need to Be Completed | 08/05/05 | 08/09/05 |
| ITA | Inspection | IPE-17446 | Better Management of the Overseas Security Program and Security Funds Is Needed | 09/30/05 |  |
| ITA | Financial Audit | FSD-12854(2) | Financial Statements for FY 2000 | 03/01/01 | 03/27/01 |
| ITA | Inspection | IPE-13213 | On-Line Export Promotion Information and Services | 03/01/01 | 04/27/01 |
| ITA | Financial Audit | FSD-12854(1) | Financial Management Systems General Controls, FY 2000 | 01/15/01 | |
| ITA | Inspection | IPE-11844 | US&FCS Mexico Requires Better Financial and Administrative Management as It Undertakes an Ambitious Export Promotion Program | 01/15/01 | |
| ITA | Inspection | IPE-12708 | US&FCS Security for Overseas Posts | 08/15/00 | |
| ITA | Inspection | IPE-12428 | US&FCS France: Leadership in Post Management and Administrative Matters Needs to Be Reestablished | 03/20/00 | |
| ITA | Inspection | IPE-11798 | US&FCS Vietnam Is Fully Operational, But Some Areas Need Improvement | 03/11/00 | 05/11/00 |
| ITA | Financial Audit | FSD-11887 | Financial Statements for FY 1999 | 03/01/00 | 08/24/00 |
| ITA | Inspection | IPE-11330 | US&FCS Hong Kong Is a Strong Operation With Minor Management Issues | 01/12/00 | |
| ITA | Evaluation | OSE-12550 | ITA Y2K Risk of Interrupted Operations Is Low, But Some Improvements Needed in Day One Planning | 12/21/99 | 01/03/00 |
| ITA | Performance Audit | ATL-10999 | Discretionary Funding, Market Development Cooperator Program | 12/21/99 | 01/18/00 |
| ITA | Inspection | IPE-11433 | US&FCS South Africa Post | 09/30/99 | 01/18/00 |
| ITA | Inspection | IPE-10916 | US&FCS Brazil Post | 09/28/99 | 05/08/00* |
| ITA | Performance Audit | BTD-10829 | US&FCS Foreign Service Personnel Management Issues | 09/28/99 | 10/07/99 |
| ITA | Performance Audit | ATL-10998 | Discretionary Funding, Special American Business Internship Training | 09/27/99 | 10/14/99 |



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

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| | | | Program | | |
| ITA | Inspection | IPE-10915 | US&FCS China Post | 09/24/99 | 10/05/99 |
| ITA | Performance Audit | BTD-10957 | Discretionary Funding, American Business Center Program | 03/26/99 | 04/12/99 |
| ITA | Performance Audit | BTD-10594 | US&FCS Portugal Post | 03/26/99 | 04/12/99 |
| ITA | Inspection | IPE-9904 | Export Challenges of the 21 st Century | 03/19/99 | 03/30/99 |
| ITA | Performance Audit | BTD-10220 | American Institute of Taiwan Commercial Section | 03/16/99 | 09/27/99* |
| ITA | Financial Audit | FSC-10866 | Financial Statements for FY 1998 | 03/01/99 | 06/15/99 |
| ITA | Performance Audit | BTD-10221 | US&FCS South Korea Post | 01/29/99 | |
| ITA | Performance Audit | BTD-10588 | US&FCS European Union Mission | 01/19/99 | 01/25/99 |
| ITA | Performance Audit | BTD-10595 | US&FCS Belgium Post | 12/23/98 | 01/11/99 |
| ITA | Inspection | IPE-10752 | Interagency and Other Special Agreements | 09/30/98 | 12/15/98 |
| ITA | Inspection | IPE-11006 | US&FCS Dallas USEAC | 09/30/98 | 11/24/98 |
| ITA | Performance Audit | IAD-10218 | US&FCS Japan Post | 09/30/98 | 10/27/98 |
| ITA | Inspection | IPE-11007 | US&FCS Seattle USEAC | 09/02/98 | 12/16/98 |
| ITA | Performance Audit | IAD-10593 | US&FCS Spain Post | 07/09/98 | 07/28/98 |
| ITA | Performance Audit | IAD-9714 | Trade Event Planning and Management | 03/31/98 | 04/29/98 |
| ITA | Financial Audit | FSC-9862 | Financial Statements for FY 1997 | 03/19/98 | 03/27/98 |
| MBDA | Financial Audit | FSD-11888 | Financial Statements for FY 1999 | 03/01/00 | 08/24/00 |
| MBDA | Performance Audit | BTD-12022 | Northeast Region Bankcard Program | 12/17/99 | 01/04/00 |
| MBDA | Financial Audit | FSC-10867 | Financial Statements for FY 1998 | 03/01/99 | 05/25/99 |



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| MBDA | Financial Assistance | ATL-8923 | W&R Associates, CT | 09/30/98 | |
| MBDA | Performance Audit | BTD-10956 | Discretionary Funding: Minority Business Development Center Program | 09/30/98 | 10/05/98 |
| MBDA | Performance Audit | BTD-10955 | Discretionary Funding: Native American Business Development Center Program | 09/30/98 | 10/05/98 |
| MBDA | Financial Audit | FSC-9863 | Financial Statements for FY 1997 | 03/31/98 | 04/01/98 |
| MBDA | Inspection | IPE-10309 | Minority Business Opportunity Committee Cooperative Agreements | 03/31/98 | 04/22/98 |
| NOAA | Performance Audit | BSD-17612 | Opportunities to Further Enhance Controls Over User Fees | 01/09/06 | |
| NOAA | Performance Audit | BSD-17613 | Follow-up Audit of Inspection Report OSE-15676, Acquisition of NEXRAD Transition Power Source Marred by Management, Technical, and Contractual Problems | 09/30/05 | |
| NOAA | Performance Audit | STL-17242 | The NMFS Review Process for the California Central Valley and State Water Projects' Biological Opinion Deviated from the Region's Normal Practice | 07/08/05 | 07/12/05 |
| NOAA | Performance Audit | BSD-16186 | Opportunities to Strengthen Internal Controls Over Improper Payments | 07/08/05 | 08/04/05 |
| NOAA | Inspection | IPE-17259 | The Northeast River Forecast Center Is Well Managed, But Some Improvements Are Needed | 08/01/05 | 09/13/05 |
| NOAA | Performance Audit | DEN-16948 | Inadequate Support Undercuts Value of Booz Allen Study and Its Recommended Changes to NOAA's Finance and Administration Services | 03/07/05 | 03/18/05 |
| NOAA | Performance Audit | FSD-15989 | Improvements Needed in Reporting of Performance for NOAA Goals—Build Sustainable Fisheries, Recover Protected Species, and Predict and Assess Decadal to Centennial Climate Change | 09/07/04 | |
| NOAA | Performance Audit | BSD-16927 | Satellite Memorandums of Agreement Should Be Improved By Using New Guidance | 03/31/05 | 04/18/05 |



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

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|----------------|-------------------|---------------|--|-------------------------|---------------------|
| NOAA | Inspection | IPE-16823 | Complaints Surrounding the Recent Selection of the Assistant Administrator for Weather Services | 04/30/04 | 05/03/04* |
| NOAA | Inspection | IPE-15721 | NMFS Observer Programs Should Improve Data Quality, Performance Monitoring, and Outreach Efforts | 03/31/04 | 04/15/04 |
| NOAA | Performance Audit | STL-15720-4 | Property Controls at the National Weather Services Radar Operations Center Need Improvement | 03/31/04 | |
| NOAA | Inspection | IPE-16138 | NOAA Corps Has Improperly Handled Confirmation of Officer Appointments and Promotions | 02/27/04 | 03/09/04 |
| NOAA | Performance Audit | STL-15753-4 | NOAA Needs to Use Appropriate Funding Instruments to Acquire Support Services for the Northwest Fisheries Science Center | 01/12/04 | 06/17/04 |
| NOAA | Performance Audit | BTD-14852-3 | Environmental Technology Laboratory Needs to Improve Internal Controls for Accounting and Funds Management | 10/06/03 | 03/05/04 |
| NOAA | Performance Audit | BTD-15139-3 | Purchase Card Program at the Environmental Technology Laboratory Needs Heightened Monitoring and Strengthened Internal Controls | 09/30/03 | 11/07/03 |
| NOAA | Performance Audit | FSD-15643-3 | Improvements Needed in the Reporting of Performance Measures Related to Goals for Advancing Short-Term Warnings and Implementing Seasonal to Interannual Climate Forecasts | 09/30/03 | 03/08/04 |
| NOAA | Performance Audit | STD-15750-3 | Recent Gear Protocols Should Improve NMFS Groundfish Bottom-Trawl Survey but More Should Be Done | 09/30/03 | 11/19/03 |
| NOAA | Evaluation | OSE-15676 | Acquisition of NEXRAD Transition Power Source Marred by Management, Technical, and Contractual Problems | 09/25/03 | 10/02/03 |
| NOAA | Evaluation | OSE-15996-1 | Stronger Security Controls Needed to Protect NESDIS' Headquarters Local Area Network | 09/22/03 | |
| NOAA | Evaluation | OSE-15996-2 | Additional Management Attention Needed to Ensure Implementation of Effective Security Controls to Protect NESDIS' Research Data System | 09/22/03 | |
| NOAA | Evaluation | OSE-15693 | Stronger Security Controls Needed to Protect NMFS Information Technology Systems | 09/17/03 | |
| NOAA | Performance Audit | STD-15120-3 | Process for reducing the Critical Hydrographic Survey Backlog Lacks Key Management Controls | 07/28/03 | |
| NOAA | Performance Audit | FSD-14998-3 | Improvements Needed in the Reporting of Performance Measures Related to | 04/18/03 | 02/20/04 |



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

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|----------------|-------------------|---------------|---|-------------------------|---------------------|
| | | | Promoting Safe Navigation and Sustaining Healthy Coasts | | |
| NOAA | Inspection | IPE-15154 | NMFS Should Take a Number of Actions to Strengthen Fisheries Enforcement | 03/31/03 | 04/07/03 |
| NOAA | Performance Audit | BTD-14972-3 | Travel Card Program at National Weather Service Headquarters Needs Additional Management Controls | 03/18/03 | 04/21/03 |
| NOAA | Performance Audit | STD-14427-3 | NOAA's "Corporate-Cost" Process Needs Improvement | 03/10/03 | 03/20/03 |
| NOAA | Inspection | IPE-14577 | NWS Weather Forecast Office Generally Perform Well, but Regional Oversight and Management at Some Offices Need to Be Improved | 09/30/02 | 09/28/04 |
| NOAA | Performance Audit | BTD-14908-2 | Internal Controls for Travel Cards at OAR's Environmental Technology Laboratory Can Be Strengthened | 09/30/02 | 10/08/02 |
| NOAA | Performance Audit | BTD-14440-2 | Northwest Fisheries Science Center Needs Improved Research Management Processes to Better Implement Its Salmon Research Plan | 09/30/02 | 01/09/03 |
| NOAA | Performance Audit | STD-14428-2 | Program for Acquiring Fisheries Research Vessels Needs Stronger Management Controls | 06/28/02 | 10/16/02 |
| NOAA | Inspection | IPE-14423 | Chanhassen Weather Forecast Office Generally Provides Effective Forecasts, but Office Management and Regional Oversight Need Improvement | 03/29/02 | 04/18/02 |
| NOAA | Inspection | IPE-14225 | Missoula Weather Forecast Office Generally Provides Quality Service to Its County Warning Area | 09/28/01 | 10/01/01* |
| NOAA | Inspection | IPE-13531 | San Angelo, TX, Weather Forecast Office | 06/28/01 | 08/10/01* |
| NOAA | Inspection | IPE-13531 | San Angelo Weather Forecast Office Performs Its Core Responsibilities Well, but Office Management and Regional Oversight Need Improvement | 06/28/01 | 08/10/01 |
| NOAA | Performance Audit | STD-13440 | Electronic Charting Database Cooperative Research and Development Agreement | 03/29/01 | 04/11/01 |
| NOAA | Performance Audit | ATL-12319 | NWS National Data Buoy Center's Technical Services Contract | 03/28/01 | |
| NOAA | Financial Audit | FSD-12855(2) | Financial Statements for FY 2000 | 03/01/01 | 03/22/01 |



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|----------------|-------------------|---------------|--|-------------------------|---------------------|
| NOAA | Financial Audit | FSD-12855(1) | Financial Management Systems General Controls, FY 2000 | 12/15/00 | |
| NOAA | Inspection | IPE-12661 | Raleigh, NC, Weather Forecast Office | 09/29/00 | 05/22/01* |
| NOAA | Performance Audit | DEN-11001 | Discretionary Funding, Small Business Innovation Research Program | 08/31/00 | |
| NOAA | Performance Audit | STL-12555 | NMFS's Northwest Fisheries Science Center Bankcard Program | 06/27/00 | 07/05/00 |
| NOAA | Performance Audit | STL-10949 | Discretionary Funding, OAR's Climate and Atmospheric Research Program | 03/31/00 | |
| NOAA | Performance Audit | ATL-11654 | Discretionary Funding, OAR's Undersea Research Program | 03/31/00 | 07/10/00 |
| NOAA | Performance Audit | STD-11881 | User Fees | 03/30/00 | 08/10/00 |
| NOAA | Performance Audit | ATL-11860 | NMFS's Southeast Fisheries Science Center Bankcard Program | 03/17/00 | 03/24/00 |
| NOAA | Financial Audit | FSD-11890(2) | Financial Management Systems General Controls, FY 1999 | 03/13/00 | |
| NOAA | Financial Audit | FSD-11890(1) | Financial Statements for FY 1999 | 03/01/00 | 08/24/00 |
| NOAA | Performance Audit | ATL-11000 | Discretionary Funding, NOS's Coastal Services Center | 03/01/00 | 07/10/00* |
| NOAA | Evaluation | OSE-12313 | NWS Y2K Risks Are Low, and Agency is Well Prepared | 12/06/99 | 12/09/99 |
| NOAA | Performance Audit | DEN-11627 | Environmental Research Labs Bankcard Program | 10/28/99 | 11/01/99 |
| NOAA | Performance Audit | STL-10950 | Discretionary Funding, NMFS's Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program | 09/30/99 | |
| NOAA | Performance Audit | ATL-11655 | Discretionary Funding, NMFS's Marine Fisheries Initiative Program | 09/30/99 | 12/09/99 |
| NOAA | Performance Audit | DEN-11927 | Mountain Administrative Support Center Bankcard Program | 09/30/99 | 10/19/99 |
| NOAA | Performance Audit | STD-10900 | Norman, Oklahoma, Planned Consolidation | 09/30/99 | |
| NOAA | Evaluation | OSE-12199 | NESDIS Y2K Issues Are Low, But Improvements Are Needed | 09/29/99 | 10/07/99 |



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| NOAA | Performance Audit | ATL-11405 | Discretionary Funding, NWS's Meteorologic and Hydrologic Modernization Development Program | 09/27/99 | 10/14/99 |
| NOAA | Performance Audit | STD-11484 | NMFS Fishermen's Contingency Fund | 08/03/99 | 08/04/99 |
| NOAA | Evaluation | OSE-10969 | Supercomputer Acquisition Had Inadequate Assurance of Funding and Unclear Provisions for Advanced Payments | 03/31/99 | 04/30/99 |
| NOAA | Inspection | IPE-10417 | NWS Interagency and Other Special Agreements | 03/31/99 | |
| NOAA | Performance Audit | STL-10951 | Discretionary Funding, NMFS's Cooperative Science and Education Program | 03/31/99 | 07/14/99 |
| NOAA | Performance Audit | STL-10953 | Discretionary Funding, NMFS's Habitat Conservation Program | 03/31/99 | 07/14/99 |
| NOAA | Performance Audit | STL-10952 | Discretionary Funding, NMFS's Unallied Management Projects Program | 03/31/99 | 07/14/99 |
| NOAA | Performance Audit | STL-10947 | Discretionary Funding, NMFS's Unallied Science Program | 03/31/99 | 07/14/99 |
| NOAA | Performance Audit | ATL-11084 | Discretionary Funding, NOS's Ocean Resources Conservation and Assessment Program | 03/31/99 | 04/26/99 |
| NOAA | Performance Audit | ATL-11140 | Discretionary Funding, NWS's Hydrologic Research Program | 03/31/99 | 04/24/99 |
| NOAA | Evaluation | OSE-11103 | Proposed NPOESS Preparatory Project Reduces Operational Risk, But Excludes Demonstration of Critical Ozone Suite | 03/26/99 |  |
| NOAA | Performance Audit | ATL-10944 | Discretionary Funding, NESDIS's Research in Remote Sensing of the Earth and Environment Program | 03/24/99 | 04/24/94 |
| NOAA | Financial Audit | FSC-10869 | Financial Statements for FY 1998 | 03/01/99 | 07/13/99 |
| NOAA | Evaluation | OSE-9593 | NPOESS Acquisition Well Planned, but Life-cycle Cost Estimates for Critical Sensors Are Overstated | 09/30/98 |  |
| NOAA | Inspection | IPE-10775 | NMFS Interagency and Other Special Agreements | 09/30/98 | 11/02/98 |
| NOAA | Performance Audit | NAD-9574 | Goddard Facility Proposal Presented Cost Reduction Opportunities | 09/30/98 | |



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

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|----------------|-------------------|---------------|---|-------------------------|---------------------|
| NOAA | Performance Audit | STL-9835 | NMFS Office of Law Enforcement | 09/30/98 | 12/15/98 |
| NOAA | Performance Audit | STL-9607 | NMFS Seafood Inspection Program Should Be Divested | 09/30/98 | 10/22/98 |
| NOAA | Performance Audit | STD-10925 | NWS Supercomputer Location | 09/30/98 | 10/22/98 |
| NOAA | Performance Audit | STL-8519 | OAR Management Systems | 09/30/98 | 11/15/98 |
| NOAA | Performance Audit | FSC-10875 | Personal Property Balance | 09/30/98 | 11/02/98 |
| NOAA | Performance Audit | STD-9952 | Light Aircraft Fleet Should Be Privatized | 08/20/98 | 09/11/98 |
| NOAA | Inspection | IPE-10150 | Sea Grant College Program Administrative Improvements | 07/08/98 | 07/21/98 |
| NOAA | Inspection | IPE-10310 | OAR Interagency and Other Special Agreements | 05/29/98 | 06/03/98 |
| NOAA | Financial Audit | FSC-9865 | Financial Statements for FY 1997 | 03/31/98 | 04/24/98 |
| NOAA | Performance Audit | STL-10140 | Interagency Agreements with the Environmental Protection Agency | 02/23/98 | 03/10/98 |
| NOAA | Inspection | IPE-9255 | Severe Storms Forecasting Verification System | 01/09/98 | 11/14/98 |
| NTIA | Performance Audit | DEN-11928 | Table Mountain Research Site Needs Attention | 09/28/01 | |
| NTIA | Performance Audit | FSD-12856 | Performance Measures Reporting | 09/20/00 | 09/28/00 |
| NTIA | Financial Audit | FSD-11891 | Financial Statements for FY 1999 | 03/01/00 | 08/24/00 |
| NTIA | Performance Audit | BTD-11549 | Bankcard Program Internal Controls | 09/01/99 | 09/02/99 |
| NTIA | Performance Audit | ATL-10945 | Discretionary Funding, Public Telecommunications Facilities Program | 03/31/99 | 04/19/99 |
| NTIA | Performance Audit | ATL-10946 | Discretionary Funding, Telecommunications and Information Infrastructure Assistance Program | 03/31/99 | 04/19/99 |
| NTIA | Financial Audit | FSC-10870 | Financial Statements for FY 1998 | 03/01/99 | 04/20/99 |
| NTIA | Financial | FSC-9866 | Financial Statements for FY 1997 | 03/19/98 | 04/06/98 |



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
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| | Audit | | | | |
| OIG | Internal Quality Reviews | DEN-15928-1 | Business and Trade Audits Division, Science and Technology Audits Division, and Economics and Statistics Audits Division | 08/11/03 | |
| OIG | Internal Quality Reviews | DEN-15928-4 | Seattle Regional Office of Audits | 08/11/03 | |
| OIG | Internal Quality Reviews | DEN-15928-3 | Atlantic Regional Office of Audits | 08/06/03 | |
| OIG | Internal Quality Reviews | DEN-15928-2 | Financial Statements Audits Division | 08/05/03 | |
| OIG | Internal Quality Reviews | HQA-15928-5 | Denver Regional Office of Audits | 08/04/03 | |
| OS | Evaluation | IPE-17198 | Commerce's Emergency Preparedness Efforts Are Improving, but Additional Management Guidance and Oversight Are Needed | 08/19/05 |  |
| OS | Evaluation | OSE-17131 | FY 2005 FISMA Evaluation | 10/07/05 | |
| OS | Financial Audit | FSD-16697 | Assessment of Information Technology Controls Supporting DOC's Financial Management Systems FY 2004 Financial Statement Audit | 11/09/04 | |
| OS | Financial Audit | FSD-16696 | Department of Commerce's FY 2004 Consolidated Financial Statements | 11/08/04 | |
| OS | Evaluation | OSE-16954 | FY 2004 Independent Evaluation of the Department of Commerce's Information Security Program Under the Federal Information Security Management Act for FY 2004 | 10/06/04 | |
| OS | Evaluation | OSE-16513 | Information Security in Information Technology Service Contracts Is Improving, But Additional Efforts Are Needed | 09/29/04 | 10/06/04 |
| OS | Evaluation | OSE-16522 | Management Attention Is Needed to Assure Adequate Computer Incident Response Capability | 09/28/04 | 10/06/04 |



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

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| OS | Evaluation | OSE-16146 | Independent Evaluation of the Department of Commerce's Information Security Program Under the Federal Information Security Management Act | 09/26/03 | 10/11/03 |
| OS | Performance Audit | BTD-14971 | Office of the Secretary's Oversight of Its Purchase Card Program Needs Heightened Monitoring and Enforcement | 03/28/03 | 04/21/03 |
| OS | Financial Audit | FSD-15214 (2) | Department of Commerce's Fiscal Year 2002 Consolidated Financial Statements | 01/15/03 | |
| OS | Financial Audit | FSD-15214 (1) | Review of IT Controls to Support the FY 2002 Consolidated Financial Statement Audit | 01/15/03 | |
| OS | Inspection | IPE-15131 | The Office of Administrative Services Needs Stronger Internal Controls and Management Oversight | 10/09/02 | 01/15/03 |
| OS | Evaluation | OSE-14129 | Stronger Management Controls Will Improve Planning and Control of CAMS | 09/30/02 | 12/16/04 |
| OS | Evaluation | OSE-15260 | Independent Evaluation of the Department's Information Security Program Under the Government Information Security Reform Act | 09/17/02 | 09/17/02 |
| OS | Evaluation | OSE-14788 | Information Security Requirements Need to Be Included in the Department's Information Technology Service Contracts | 05/16/02 | 05/21/02 |
| OS | Evaluation | OSE-14384-1 | Independent Evaluation of the Department's Information Security Program Under the Government Information Security Reform Act | 10/01/01 | 10/02/01 |
| OS | Evaluation | OSE-14486 | Program for Designating Positions According to Their Risk and Sensitivity Needs To Be Updated and Strengthened | 09/28/01 | 10/16/01 |
| OS | Evaluation | OSE-14086 | Improvements Made in CAMS Contract Management but Additional Actions Needed | 09/28/01 | |
| OS | Performance Audit | FSD-14271 | Departmental Fund Management Practices Need Improvement | 09/28/01 | 11/30/01 |
| OS | Inspection | IPE-13934 | Implementation of Commerce's Trade Mission Policy Has Strengthened Management of the Missions, but More Improvements Can Be Made | 04/11/01 | 04/12/01 |
| OS | Inspection | IPE-13934 | Trade Mission Policy and Management | 04/10/01 | 04/12/01 |
| OS | Evaluation | OSE-14257 | Use of Internet "Cookies" and "Web Bugs" on Commerce Web Sites Raises Privacy and Security Concerns | 04/02/01 | 05/02/01 |
| OS | Evaluation | OSE-13573 | Additional Focus Needed on Information Technology Security Policy and | 03/30/01 | 04/13/01 |



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

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| | | | Oversight | | |
| OS | Financial Audit | FSD-12849(2) | Federal Agencies' Centralized Trial-Balance System Data Verification, FY 2000 | 03/20/01 | 03/26/01 |
| OS | Financial Audit | FSD-12852(2) | ESA/BEA, MBDA, WCF/S&E, and ELGP Combined Financial Statements for FY 2000 | 03/01/01 | 03/28/01 |
| OS | Financial Audit | FSD-12849(1) | Department of Commerce's Consolidated Financial Statements for FY 2000 | 03/01/01 | 04/15/01 |
| OS | Financial Audit | FSD-12852(1) | Office of Computer Services/FARS General Controls, FY 2000 | 01/15/01 | |
| OS | Inspection | IPE-9460 | Interagency and Other Special Agreements—Cross-Cutting Issues | 10/19/00 | 11/03/00 |
| OS | Performance Audit | FSD-12940 | Commerce Administrative Management System Software Controls | 09/20/00 | 09/26/00 |
| OS | Performance Audit | ATL-10835 | Discretionary Funding Capping Report | 09/12/00 | 10/12/00 |
| OS | Evaluation | OSE-12680 | Critical Infrastructure Protection: Early Strides were Made, but Planning and Implementation Have Slowed | 08/29/00 | 03/13/01 |
| OS | Financial Audit | FSD-11911(2) | Federal Agencies' Centralized Trial-Balance System Data Verification, FY 1999 | 03/24/00 | 08/24/00 |
| OS | Inspection | IPE-12453 | Herbert C. Hoover Building Fire and PCB Accident | 03/21/00 | 03/22/00 |
| OS | Financial Audit | FSD-11895 | Working Capital Fund/Salaries & Expense Fund Financial Statements for FY 1999 | 03/01/00 | 08/24/00 |
| OS | Financial Audit | FSD-11911(1) | Department of Commerce's Consolidated Financial Statements for FY 1999 | 02/24/00 | 08/24/00 |
| OS | Financial Audit | FSD-11846 | Commerce Administrative Management System Support Center General Controls | 02/15/00 | |
| OS | Financial | FSD-12196 | Office of Computer Services General Controls, FY 1999 | 02/15/00 | |



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

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| | Audit | | | | |
| OS | Performance Audit | FSD-11882 | Delinquent Debt Management | 12/21/99 | |
| OS | Performance Audit | BTD-11822 | Discretionary Funding, Postsecondary Internship Program | 09/18/99 | 10/18/99 |
| OS | Performance Audit | STD-11600 | Natural Disaster Reduction Initiative | 07/15/99 | 07/15/99 |
| OS | Financial Audit | FSD-10899(2) | Department of Commerce FY 1998 Consolidated Financial Statements: Federal Agencies' Centralized Trial-Balance System Data Verification, Agreed-Upon Procedures | 06/15/99 | 06/21/99 |
| OS | Evaluation | OSE-10924 | Department is Working to Improve Accuracy of Reporting Y2K Compliance Status | 03/31/99 | 04/12/99 |
| OS | Inspection | IPE-11331 | Office of Security Needs to Improve Planning and Procedures as It Reorganizes | 03/31/99 | |
| OS | Financial Audit | FSC-11029 | Franchise Fund FY 1998 Financial Statements | 03/15/99 |  |
| OS | Inspection | IPE-11630 | Office of Security Classified Document Tracking System | 03/15/99 | |
| OS | Financial Audit | FSD-10899(1) | Department of Commerce's Consolidated Financial Statements for FY 1998 | 03/14/99 | 07/14/99 |
| OS | Financial Audit | FSC-10873 | Working Capital Fund/Salaries & Expense Fund Financial Statements for FY 1998 | 03/05/99 | 06/09/99 |
| OS | Inspection | IPE-10418 | Interagency and Other Special Agreements | 09/30/98 | 12/15/98 |
| OS | Performance Audit | FPD-10876 | OMB Bulletin 97-01 Implementation | 09/28/98 | 10/05/98 |
| OS | Inspection | IPE-11027 | Final Inspection Report on the Commercial Law Development Program | 09/15/98 | |
| OS | Financial Audit | FSD-9753 | Department of Commerce's Consolidated Financial Statements for FY 1997 | 03/31/98 | 04/21/98 |



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

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| OS | Financial Audit | FSD-9754 | Working Capital Fund/Salaries & Expense Fund Financial Statements for FY 1997 | 03/19/98 | 04/03/98 |
| OS | Financial Audit | FSD-10240 | Franchise Fund FY 1997 Financial Statements | 02/15/98 | * |
| OS | Financial Audit | FSD-10021 | Office of Computer Services General Controls, FY 1997 | 02/15/98 | |
| TA | Financial Audit | FSD-12859(2) | TA/NIST/NTIA Combined Financial Statements for FY 2000 | 03/01/01 | 03/26/01 |
| TA | Inspection | IPE-13200 | Infrared Spectral Database Cooperative Research and Develop. Agreement | 02/23/01 | 03/02/01 |
| TA | Financial Audit | FSD-12857(1) | TA Financial Management Systems General Controls, FY 2000 | 01/15/01 | |
| TA | Performance Audit | DEN-10960 | Advanced Technology Program Awards Process Promotes Merit-Based Decisions | 03/31/99 | 06/03/05 |
| TA | Performance Audit | DEN-10959 | Manufacturing Extension Partnership Program Awards Process Promotes Merit-Based Decisions | 03/31/99 | 06/03/05 |
| TA | Performance Audit | DEN-10962 | National Standard Reference Data System Program Awards Process Promotes Merit-Based Decisions | 03/31/99 | |
| TA | Performance Audit | EDD-10268 | Superfund Charges to the Environmental Protection Agency (FY 1997) | 02/10/98 | 02/17/98 |
| TA-NIST | Performance Audit | BSD-16656 | NIST's Procurement Practices Have Improved But Additional Challenges Remain | 09/30/05 | |
| TA-NIST | Performance Audit | STD-16009-4 | NIST's Patent License Agreement Process Needs Improvement | 12/18/03 | 12/22/03 |
| TA-NIST | Performance Audit | STD-15839-3 | Award for Five-Axis Machining Center under RFQ SB 1341-02-Q-0550 Justified but Procurement Process Needs Improvement | 09/30/03 | 10/15/03 |
| TA-NIST | Evaluation | OSE-15078 | Additional Improvements Needed to Strengthen NIST's Information Security Program | 09/18/02 | 09/20/02 |
| TA-NIST | Performance Audit | FSD-14430 | Reporting of Performance Measures Needs Improvement | 03/29/02 | 06/12/02 |



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

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|----------------|-------------------|-----------------|--|-------------------------|---|
| TA-NIST | Performance Audit | DEN-11787 | Internal Controls Over NIST's Bankcard Program Need Improvement | 03/27/01 | 04/03/01 |
| TA-NIST | Financial Audit | FSD-12859(1) | NIST Financial Management Systems General Controls, FY 2000 | 02/15/01 | |
| TA-NIST | Performance Audit | DEN-10958 | Measurement and Engineering Research and Standards Program Should Expand Use of Competitive Award Procedures | 09/19/00 | 09/26/00 |
| TA-NIST | Inspection | IPE-11923 | NIST Needs to Reevaluate Plans to Support Its Ballistics Testing Program | 04/04/00 | 04/28/00* |
| TA-NIST | Performance Audit | STD-11551 | ATP's Management of Intramural Research Can Be Strengthened | 03/31/00 | 02/21/01 |
| TA-NIST | Performance Audit | STD-11113 | ATP Focused Program | 03/30/00 | 04/04/00 |
| TA-NIST | Financial Audit | FSD-11889(2) | NIST Financial Management Systems General Controls, FY 1999 | 03/15/00 | |
| TA-NIST | Financial Audit | FSD-11889(1) | NIST Financial Statements for FY 1999 | 03/01/00 | 08/24/00 |
| TA-NIST | Performance Audit | DEN-10961 | Discretionary Funding, State Technology Extension Program | 09/30/99 | 10/19/99 |
| TA-NIST | Financial Audit | FSC-10870 | NIST Financial Statements for FY 1998 | 03/20/99 | 04/20/99 |
| TA-NIST | Performance Audit | BTD-11485 | Superfund Charges to the Environmental Protection Agency (FY 1998) | 01/08/99 | 01/20/99 |
| TA-NIST | Inspection | IPE-10854 | Policy of Allowing Informal Collaborations with Non-Federal Researchers Requires Additional Controls | 09/30/98 | 12/08/98 |
| TA-NIST | Financial Audit | FSC-9864 | NIST Financial Statements for FY 1997 | 03/19/98 | 04/06/98 |
| TA-NTIS | Financial Audit | FSD-16699-5 | Assessment of Information Technology Controls Supporting NTIS' Financial Management Systems | 11/09/04 |  |
| TA-NTIS | Financial Audit | FSD-16698-5 | NTIS' FY 2004 Financial Statements | 11/08/04 | |
| TA-NTIS | Financial Audit | FSD-15212-3 (2) | Financial Statements Fiscal Year 2002 | 01/09/03 | |



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|----------------|-------------------|---------------|--|-------------------------|---------------------|
| TA-NTIS | Performance Audit | FSD-15100-3 | National Technical Information Service's New Business Model | 12/31/02 | 01/08/03 |
| TA-TA | Financial Audit | FSD-15212 (1) | Improvements Needed in the General Controls Associated with TA' Financial Management Systems | 12/30/02 | |
| TA-TA | Financial Audit | FSD-12857(2) | TA Financial Statements for FY 2000 | 03/01/01 | 03/22/01 |
| TA-TA | Financial Audit | FSD-11892(1) | TA Financial Statements for FY 1999 | 03/24/00 | 08/24/00 |
| TA-TA | Financial Audit | FSD-11894 | TA Financial Statements for FY 1999 | 03/24/00 | 08/24/00 |
| TA-TA | Financial Audit | FSD-11892(2) | TA Financial Management Systems General Controls, FY 1999 | 03/15/00 | |
| TA-TA | Inspection | IPE-11021 | Interagency and Other Special Agreements | 06/18/99 | 05/22/03 |
| TA-TA | Financial Audit | FSC-10871 | TA Financial Statements for FY 1998 | 03/17/99 | 06/17/99 |
| TA-TA | Financial Audit | FSC-10872 | TA Financial Statements for FY 1998 | 03/08/99 | 06/08/99 |
| TA-TA | Performance Audit | STD-10592 | Operations Jeopardized by Revenue Shortfall | 09/03/98 | 11/04/98 |
| TA-TA | Financial Audit | FSC-9867 | TA Financial Statements for FY 1997 | 03/27/98 | 03/27/98 |
| TA-TA | Financial Audit | FSC-9868 | TA Financial Statements for FY 1997 | 03/01/98 | 04/01/98 |
| USPTO | Evaluation | OSE-17455 | Information Security in Contracts Needs Better Enforcement and Oversight | 09/30/05 | |
| USPTO | Financial Audit | FSD-16700 | USPTO FY 2004 Financial Statements | 11/08/04 | |



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

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|----------------|-------------------|---------------|--|-------------------------|---|
| USPTO | Financial Audit | FSD-16701 | Assessment of Information Technology Controls Supporting USPTO's Financial Management Systems | 11/09/04 |  |
| USPTO | Inspection | IPE-15722 | USPTO Should Reassess How Examiner Goals, Performance Appraisal Plans, and the Award System Stimulate and Reward Examiner Production | 09/30/04 | 11/29/04 |
| USPTO | Inspection | IPE-16268 | USPTO's Move to Alexandria, Virginia, Is Ahead of Schedule, But Some Key Issues Need to Be Resolved | 09/30/04 | |
| USPTO | Inspection | IPE-16083 | OIG Review of Complaints and Concerns Related to USPTO's Handling of Patent Applications | 09/30/03 | 10/15/03 |
| USPTO | Financial Audit | FSD-15213 (2) | Financial Statements Fiscal Year 2002 | 01/09/03 | |
| USPTO | Financial Audit | FSD-15213 (1) | Improvements Needed in the General Controls Associated with USPTO's Financial Management Systems | 12/30/02 | |
| USPTO | Evaluation | OSE-15250 | Independent Evaluation of USPTO's Information Security Program Under the Government Information Security Reform Act | 09/17/02 | 09/17/02 |
| USPTO | Evaluation | OSE-14926 | Stronger Management Controls Needed for Patent Application Capture and Review Automated Information System | 08/22/02 | 09/19/02 |
| USPTO | Evaluation | OSE-14816 | Additional Senior Management Attention Needed to Strengthen USPTO's Information Security Program | 03/29/02 | 09/18/02 |
| USPTO | Performance Audit | BTD-14432 | Patent Examiner Hiring Should Be Improved | 03/29/02 | 05/07/02 |
| USPTO | Performance Audit | FSD-14429 | Minor Improvements Needed in Reporting Performance Needs | 03/21/02 | 03/31/02 |
| USPTO | Evaluation | OSE-14384 | Independent Evaluation of the Department's Information Security Program Under the Government Information Security Reform Act | 09/01/01 | 10/02/01 |
| USPTO | Evaluation | OSE-12679 | Search System Problems Being Addressed, but Improvements Needed for Future Systems | 04/18/01 | 04/19/01 |
| USPTO | Financial Audit | FSD-12858(2) | Financial Statements for FY 2000 | 03/10/01 | 05/10/01 |



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

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|----------------|-------------------|---------------|--|-------------------------|---------------------|
| USPTO | Financial Audit | FSD-12858(1) | Financial Management Systems General Controls, FY 2000 | 12/10/00 | |
| USPTO | Performance Audit | BTD-12830 | Office of Human Resources | 09/27/00 | 10/12/00 |
| USPTO | Performance Audit | BTD-11747 | Overseas Protection of Intellectual Property Rights | 08/03/00 | 08/28/00 |
| USPTO | Financial Audit | FSD-11893(1) | Financial Statements for FY 1999 | 03/01/00 | 08/24/00 |
| USPTO | Financial Audit | FSD-11893(2) | Financial Management Systems General Controls, FY 1999 | 02/29/00 | |
| USPTO | Evaluation | OSE-11693(2) | Year 2000 Business and Continuity Plan Is Comprehensive, but Additional Risk Mitigation Is Needed | 12/22/99 | 01/07/00 |
| USPTO | Inspection | IPE-10728 | Interagency Agreements | 09/30/99 | 08/02/00 |
| USPTO | Evaluation | OSE-11693(1) | PTO's Year 2000 Renovations and Test Program Are Effective but Agency Should Freeze Changes and Verify Inventory | 09/29/99 | 10/18/99 |
| USPTO | Performance Audit | BTD-10996 | Office of Patent Publications | 09/21/99 | 09/23/99 |
| USPTO | Performance Audit | BTD-10901 | Bankcard Program | 03/26/99 | 04/12/99 |
| USPTO | Financial Audit | FSD-10898(2) | Financial Management Systems General Controls, FY 1998 | 03/10/99 | |
| USPTO | Financial Audit | FSD-10898(1) | Financial Statements for FY 1998 | 03/01/99 | 06/21/99 |
| USPTO | Performance Audit | BTD-10628 | Board of Patent Appeals and Interferences | 09/30/98 | 11/02/98 |
| USPTO | Performance Audit | FSD-10782 | Cost Accounting System | 06/30/98 | 07/02/98 |
| USPTO | Performance Audit | BTD-10627 | Office of Enrollment and Discipline | 06/25/98 | 06/25/98 |
| USPTO | Inspection | IPE-9724 | Space Consolidation Planning | 03/27/98 | 04/03/98 |



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| USPTO | Financial Audit | FSD-9755 | Financial Statements for FY 1997 | 03/20/98 | 04/03/98 |